

NICHE TECHNOLOGIES PRIVATE LIMITED
(REGISTRAR & TRANSFER AGENT)
D-511 BAGREE MARKET, 5TH FLOOR, 71 B.R.B. BASU ROAD, KOLKATA - 700 001
PHONES : 2235-7271/7270/3070 2234-3576 FAX: 2215-6823
E-Mail : nichetechpl@nichetechpl.com
CIN : U74140WB1994PTC062636

TO WHOMSOEVER IT MAY CONCERN

COMPANY : INDIAN BASE METALS CO. LTD.

ISIN : INE408D01011

THIS IS TO CERTIFY THE FOLLOWING :

| | AS ON 29/09/2018 | AS ON 30/06/2018 |
|-------------------------------|------------------|------------------|
| TOTAL NSDL POSITION : | 190450 | 190450 |
| TOTAL CDSL POSITION : | 1214350 | 1214350 |
| TOTAL DEMATERIALISED SHARES : | 1404800 | 1404800 |
| TOTAL PHYSICAL SHARES : | 1596500 | 1596500 |
| TOTAL ISSUED CAPITAL : | 3001300 | 3001300 |

FOR NICHE TECHNOLOGIES PVT. LTD.
(REGISTRAR & TRANSFER AGENT)

PLACE : KOLKATA

DATE : 01. 10. 2018

(AUTHORIZED SIGNATORY)

Name of Listed Equity: INDIAN BASE METALS CO. LTD.

Scrp Code:

Shareholding Pattern Filed under: Reg. 31(1)(b) Quarter Ended: 30/09/2018

| Particulars | Yes | No |
|--|-----|----|
| 1 Whether the Listed Equity has issued any Partly Paid up shares? | | No |
| 2 Whether the Listed Equity has issued any Convertible Securities or Warrants? | | No |
| 3 Whether the Listed Equity has any shares against which Depository Receipts are issued? | | No |
| 4 Whether the Listed Equity has any shares in Locked-in? | | No |
| 5 Whether any shares held by Promoters are Pledge or otherwise encumbered? | | No |



TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

| Date/Name of shareholders (group) | Nos. of Shares (I) | No. of Fully Paid-up Equity (II) | No. of Partly Paid-up Equity (III) | No. of Shares Underlying Depository Receipt (IV) | Total Nos. Shares (V) = (I)+(II)+(III)+(IV) | Shareholding Calculated as per SEC 17(7) (VI) = (I)+(II)+(III)+(IV) | Number of Voting Rights held in each class of securities | | | No. of Shares Underlying (VII) | Shareholding as a % of Total (VIII) = (VII)/(V) | Number of Locked in Shares (IX) | Number of Shares pledged or otherwise encumbered (X) | Number of Equity Shares held in demat form (XI) |
|--------------------------------------|-----------------------|--|--|--|--|---|--|---------|-------|--------------------------------------|---|---------------------------------------|---|---|
| | | | | | | | Class X | Class Y | Total | | | | | |
| (A) Promoter & Promoter Group | 6 | 121430 | | | 121436 | 40.461 | 121430 | | | 40.461 | | | 121430 | |
| (B) Public | 1201 | 178670 | | | 178671 | 59.539 | 178670 | | | 59.539 | | | 178670 | |
| (C) Non Promoter - Non Public | | | | | | | | | | | | | | |
| (C1) Shares underlying OMS | | | | | | | | | | | | | | |
| (C2) Shares held by Employee Trusts | | | | | | | | | | | | | | |
| TOTAL | 1207 | 300100 | | | 300106 | 100.000 | 300100 | | | 100.000 | | | 300100 | |



| Category | Name of shareholders | IPIN | Nos. of Shares | No. of Fully Paid-up Equity Shares Held | No. of Partly Paid-up Equity Shares Held | No. of Underlying Depository Receipts | No. of Shares Held | Total Nos. of Shares | Shareholding Calculated as per SEBI, 1997 | Number of Voting Rights held in each class of securities | | | No. of Shares Underlying outstanding convertible securities (including Warrants) | Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital) | Number of Locked in shares | Number of Shares pledged or otherwise encumbered | Number of Equity Shares Held in demat | Number of Equity Shares Held in physical |
|----------|---|------------|----------------|---|--|---------------------------------------|--------------------|----------------------|---|--|---------|---------|--|--|----------------------------|--|---------------------------------------|--|
| | | | | | | | | | | Class X | Class Y | Total | | | | | | |
| (1) | Indian | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) | (XIII) | (XIV) | (XV) | (XVI) | (XVII) | (XVIII) |
| (a) | Individuals/Hindu undivided Family | | | | | | | | | | | | | | | | | |
| | DELA DAWAL | ADAPR6307D | 5 | 334350 | | | 334350 | 334350 | 11.140 | 334350 | | 334350 | 11.140 | | | | 334350 | |
| | RAJESH PRASAD DAWAL | ADAPR6307D | | 104000 | | | 104000 | 104000 | 3.465 | 104000 | | 104000 | 3.465 | | | | 104000 | |
| | RAJESH PRASAD DAWAL | ADAPR6307D | | 65000 | | | 65000 | 65000 | 2.166 | 65000 | | 65000 | 2.166 | | | | 65000 | |
| | RAJESH PRASAD DAWAL | ADAPR6307D | | 65350 | | | 65350 | 65350 | 2.177 | 65350 | | 65350 | 2.177 | | | | 65350 | |
| | RAJESH PRASAD DAWAL | ADAPR6307D | | 40000 | | | 40000 | 40000 | 1.333 | 40000 | | 40000 | 1.333 | | | | 40000 | |
| | SAVITESH KUMAR AGARWAL | ACTPR2476F | | 60000 | | | 60000 | 60000 | 1.999 | 60000 | | 60000 | 1.999 | | | | 60000 | |
| (b) | Central Government/State Government(s) | | | | | | | | | | | | | | | | | |
| (c) | Financial Institutions/Banks | | | | | | | | | | | | | | | | | |
| (d) | Any Other | | | | | | | | | | | | | | | | | |
| | Bodies Corporate | | 1 | 880000 | | | 880000 | 880000 | 29.321 | 880000 | | 880000 | 29.321 | | | | 880000 | |
| | DAWAL AGRI & TEA MANUFACTURE INDIA PVT LTD | ADAPR6307D | | 880000 | | | 880000 | 880000 | 29.321 | 880000 | | 880000 | 29.321 | | | | 880000 | |
| | Trusts | | | | | | | | | | | | | | | | | |
| | Clearing Members | | | | | | | | | | | | | | | | | |
| | Sub-Total (A)(1) | | 6 | 1214350 | | | 1214350 | 1214350 | 40.461 | 1214350 | | 1214350 | 40.461 | | | | 1214350 | |
| (2) | Foreign | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Non-Resident Individuals/Foreign Individuals) | | | | | | | | | | | | | | | | | |
| (b) | Government | | | | | | | | | | | | | | | | | |
| (c) | Institutions | | | | | | | | | | | | | | | | | |
| (d) | Foreign Portfolio Investor | | | | | | | | | | | | | | | | | |
| (e) | Any Other | | | | | | | | | | | | | | | | | |
| | Sub-Total (A)(2) | | | | | | | | | | | | | | | | | |
| | Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 6 | 1214350 | | | 1214350 | 1214350 | 40.461 | 1214350 | | 1214350 | 40.461 | | | | 1214350 | |



TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

| Category of Shareholders | IPAN | Nos. of Shareholders | No. of Equity Shares Held | No. of Fully Paid-up Equity Shares Held | No. of Partly Paid-up Equity Shares Held | No. of Depository Receipts | No. of Shares Held | Total Nos. of Shares (VII) = (IV)+(V)+(VI)+(VII) | Shareholding Pattern as per SCRR, 1957 (As a % of (I)+(II)+(III)) | Number of Voting Rights held in each class of securities (CX) | | | No. of Shares Underlying Outstanding Securities (Including Warrants) (XI) = (VII)+(X) | Shareholding Pattern as a % of (I)+(II)+(III)+(XI) | Number of Locked In Shares (XII) | Number of Shares pledged or encumbered (XIII) | Number of Equity Shares Held in dematerialized form (XIV) |
|--|------------|----------------------|---------------------------|---|--|----------------------------|--------------------|--|---|---|---------|--------|---|--|----------------------------------|---|---|
| | | | | | | | | | | Class X | Class Y | Total | | | | | |
| (C) Institutions | | | | | | | | | | | | | | | | | |
| (a) Mutual Funds | | | | | | | | | | | | | | | | | |
| (b) Venture Capital Funds | | | | | | | | | | | | | | | | | |
| (c) Alternate Investment Funds | | | | | | | | | | | | | | | | | |
| (d) Foreign Venture Capital Investors | | | | | | | | | | | | | | | | | |
| (e) Foreign Portfolio Investors | | | | | | | | | | | | | | | | | |
| (f) Financial Institutions/Banks | | | | | | | | | | | | | | | | | |
| (g) Insurance Companies | | | | | | | | | | | | | | | | | |
| (h) Provident Funds/Pension Funds | | | | | | | | | | | | | | | | | |
| (i) Any Other | | | | | | | | | | | | | | | | | |
| Foreign Institutional Investors | | | | | | | | | | | | | | | | | |
| QFI - Corporate | | | | | | | | | | | | | | | | | |
| Sub-Total (BX1) | | | | | | | | | | | | | | | | | |
| (2) Central Government/State Government(s)/President of India | | | | | | | | | | | | | | | | | |
| Sub-Total (BX2) | | | | | | | | | | | | | | | | | |
| (3) Non-Institutions | | | | | | | | | | | | | | | | | |
| (a) Individuals | | | | | | | | | | | | | | | | | |
| (i) Individual shareholders holding nominal share capital up to Rs. 2 Lakhs. | | 1172 | 78150 | | | | 78150 | | 26.027 | 78150 | 78150 | 26.027 | | | | | 59450 |
| (ii) Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs. | | 4 | 113600 | | | | 113600 | | 3.785 | 113600 | 113600 | 3.785 | | | | | 40000 |
| VIKASH KAPANE | ANAP463241 | | 40000 | | | | 40000 | | 1.333 | 40000 | 40000 | 1.333 | | | | | 40000 |
| (b) NRFCs registered with RBI | | | | | | | | | | | | | | | | | |
| (c) Employee Trusts | | | | | | | | | | | | | | | | | |
| (d) Overseas Depositors (holding DRs) (balancing figure) | | | | | | | | | | | | | | | | | |
| (e) Any Other | | | | | | | | | | | | | | | | | |
| Bodies Corporate | | 25 | 892200 | | | | 892200 | | 29.727 | 892200 | 892200 | 29.727 | | | | | 100000 |
| ALEXIA SOFTWARE PVT. LTD | | | 46300 | | | | 46300 | | 1.543 | 46300 | 46300 | 1.543 | | | | | |
| DELTA MANAGEMENT SERVICES PRIVATE LTD | | | 37000 | | | | 37000 | | 1.233 | 37000 | 37000 | 1.233 | | | | | |
| DESHI TRADING PVT. LTD | | | 54100 | | | | 54100 | | 1.803 | 54100 | 54100 | 1.803 | | | | | |
| BLUE FRONT SECURITIES LTD | | | 81900 | | | | 81900 | | 2.729 | 81900 | 81900 | 2.729 | | | | | |
| CARMAH REFRIGERATING PVT | | | 33300 | | | | 33300 | | 1.110 | 33300 | 33300 | 1.110 | | | | | |
| ITWANI PROJECT PVT. LIMITED | | | 46300 | | | | 46300 | | 1.543 | 46300 | 46300 | 1.543 | | | | | |
| PURANSHA RESOURCES LIMITED | AN0097081 | | 100000 | | | | 100000 | | 3.332 | 100000 | 100000 | 3.332 | | | | | 100000 |
| RLP TRIDE CORP. PVT. LTD | | | 35600 | | | | 35600 | | 1.183 | 35600 | 35600 | 1.183 | | | | | |
| SAHIL FINANCE LIMITED | | | 214900 | | | | 214900 | | 7.160 | 214900 | 214900 | 7.160 | | | | | |
| QFI - Individual | | | | | | | | | | | | | | | | | |
| QFI - Repatriable | | | | | | | | | | | | | | | | | |
| QFI - Non-Repatriable | | | | | | | | | | | | | | | | | |
| QFCs | | | | | | | | | | | | | | | | | |



| Category of shareholders | IPW | Nos. of Shares | No. of Fully Paid-up Shares | No. of Partly Paid-up Shares | Underlying Depository Receipts | Total Shares Held | Total Nos. of Shares | Shareholding Calculated as per SEBI 1957 | Number of Voting Rights held in each class of securities | | | No. of Shares Underlying outstanding convertible securities | Shareholding as % assuming full conversion of convertible securities (as a % of diluted share capital) | Number of Locked In Shares | Number of Shares pledged or encumbered | Number of Shares held in demat | Number of Equity Shares held in demat |
|--------------------------|-----|----------------|-----------------------------|------------------------------|--------------------------------|-------------------|----------------------|--|--|---------|-------|---|--|----------------------------|--|--------------------------------|---------------------------------------|
| | | | | | | | | | Class X | Class Y | Total | | | | | | |
| | | | | | | | | | | | | | | | | | |
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(1) Custodian OR Holder
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014

Total Non-Promoter - Non-Public Shareholding (C)=(G)+(I)+(K)+(Z)

